

Docket No.: 740756-2063

ATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

) Art Unit: 2823

Shunpei YAMAZAKI, et al.

) Confirmation: 7375

Application No.: 09/436,984

) Examiner: William D. Coleman

For:

Filed: November 9, 1999

SEMICONDUCTOR DEVICE AND

) Date: March 10, 2006

MANUFACTURING METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§1.97 and 1.98.

Pursuant to 37 C.F.R. §1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. §371 after June 30, 2003.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge the required fee of \$180.00 to comply with the provisions of 37 C.F.R. § 1.97(c), or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

NIXON PEABODY, LLP

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Substitute for form 1449A/PTO INFORMATION DISCLEMENT STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet

(use as many sheets as necessary)

Complete if Known			
Application Number	09/436,984 November 9, 1999		
Filing Date			
First Named Inventor	Shunpei YAMAZAKI, et al.		
Art Unit	2823		
Examiner Name	William D. Coleman		
Attorney Docket Number	740756-2063		

	U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No. ¹	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,977,394 B2	12/20/2005	Yamazaki, et al.	
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		FO	REIGN PATENT DOCU	UMENTS		
Examiner Initials	Cite No. ¹	Foreign Patent Document Kind Code ⁵ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Cohumns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		OTHER PRIOR A	RT – NON PATENT LITEI	RATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.				

Examiner		Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.